SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Rogozinski Kenneth (Last) (First) (Middle)						2. Issuer Name and Ticker or Trading Symbol <u>AMERICA FIRST MULTIFAMILY</u> <u>INVESTORS, L.P.</u> [ATAX] 3. Date of Earliest Transaction (Month/Day/Year)									all applicab	Officer (give title		(s) to Issuer 10% Ov Other (s below)	
14301 FNB PARKWAY						08/19/2020									, ,	ief Inves	tment	, ,	
SUITE 211						4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable Line)				
(Street)														X Form filed by One Reporting Person Form filed by More than One Reporting Person					
OMAHA	MAHA NE 68154																		
(City)	(State)	(Zi	p)																
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
Date					Transaction te onth/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)					. Securities Acquired (A) or isposed Of (D) (Instr. 3, 4 ar			Securities Beneficiall Following	Securities Beneficially Owned		vnership : Direct (D) direct (I) - 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
										v	Amount		(A) or (D)	Price	(Instr. 3 an				(1130.4)
Beneficial Unit Certificates														51,630			D		
Beneficial Unit Certificates 08/1					3/19/2020				Р		8,600)	Α	\$4.5822	21,908			Ι	By IRA
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	ve Conversion Date Execution D			ate, Transaction Code (Instr.			5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisat Expiration Date (Month/Day/Year)		Securities Unde ar) Derivative Secur (Instr. 3 and 4)		derlying curity	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s (Instr. 4)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code	v	(A)	(D)	Date Exercisa	able	Expiration Date	Title	e	or Number of Shares		(Instr. 4)			

Explanation of Responses:

Remarks:

/s/ Kenneth C. Rogozinski

** Signature of Reporting Person

08/19/2020

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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