SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Griffith Walter K					2. Issuer Name and Ticker or Trading Symbol <u>AMERICA FIRST MULTIFAMILY</u> <u>INVESTORS, L.P.</u> [ATAX]										all applicab			10% Ov	
(Last) (First) (Middle) 14301 FNB PARKWAY					3. Date of Earliest Transaction (Month/Day/Year) 06/09/2021										Officer (g below)	ive title		Other (s below)	specify
SUITE 211					4. lf A	4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person				
(Street) OMAHA NE 68154															Form file	d by More	than C	one Reportin	g Person
(City)	(State)	(Z	p)																
		Ta	able I - No	n-Der	ivativ	e Se	curitie	s Acq	uired, C	Disp	osed of,	, or E	Benefi	cially Ov	ned				
Date					Date (Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)				4. Securit Disposed				Securities Beneficiall Following	ecurities		vnership : Direct (D) direct (I) . 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
									Code	v	Amount		(A) or (D)	Price	(Instr. 3 and 4)				(IIISU . 4)
Beneficial Unit Certificates 06/0						09/2021			A ⁽¹⁾		5,417(1)		Α	\$0.00	57,839			D	
			Table II - I						,	•	sed of, o nvertible				ed				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution D if any (Month/Day/	ate,	Code (Instr.		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date E Expiratio (Month/E	n Dat		e Securities U		derlying curity) Amount	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transactior (Instr. 4)	e s illy g	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					Code	v	(A)	(D)	Date Exercisa		Expiration Date	Title		or Number of Shares		,			

Explanation of Responses:

1. Restricted units granted pursuant to the America First Multifamily Investors, L.P. 2015 Equity Incentive Plan. The restricted units vest on November 30, 2021.

Remarks:

/s/ Walter K. Griffith

** Signature of Reporting Person

06/10/2021

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.