SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Ш

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* Rogozinski Kenneth				AM INV	2. Issuer Name and Ticker or Trading Symbol <u>AMERICA FIRST MULTIFAMILY</u> <u>INVESTORS, L.P.</u> [ATAX ]								ationship of F all applicab Director Officer (g below)	le)	Person(	(s) to Issuer 10% Ov Other (s below)			
(Last) (First) (Middle) 14301 FNB PARKWAY				3. Date of Earliest Transaction (Month/Day/Year) 06/09/2021								,	nief Exec	utive	,				
SUITE 211					4. lf A	men	dment, D	ate of Or	iginal File	ed (Mo	onth/Day/Ye	ear)			vidual or Joir	•	• •		able Line)
(Street)														X			•	ng Person ne Reportin	g Person
OMAHA	NE	68	154																
(City)	(State)	(Zi	p)																
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
Date				ransaction e nth/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and				5. Amount Securities Beneficiall Following Transactio	y Owned Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
									Code	v	Amount		(A) or (D)	Price	(Instr. 3 an				(1130.4)
Beneficial Unit Certificates 06/0				09/202	9/2021			<b>A</b> <sup>(1)</sup>		56,670(1)		A	\$0.00	108,300			D		
Beneficial Unit Certificates														45,0	081		Ι	By IRA	
			Table II - I								sed of, o nvertible				ed				
1. Title of Derivative Security (Instr. 3)	rivative Conversion Date Execution Date, curity (Instr. 3) or Exercise (Month/Day/Year) if any		4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercis Expiration Date (Month/Day/Yea		e Securities Underl aar) Derivative Securit (Instr. 3 and 4)		derlying curity	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s (Instr. 4)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)			
					Code	v	(A)	(D)	Date Exercis	able	Expiration Date	Title		or Number of Shares					

Explanation of Responses:

1. Restricted units granted pursuant to the America First Multifamily Investors, L.P. 2015 Equity Incentive Plan. One-third of the aggregate number of restricted units vest on each November 30, 2021, 2022 and 2023. Remarks:

	<u>/s</u>	/ Kenneth	Rogoz	inski
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\*\* Signature of Reporting Person

06/10/2021 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.